



ASSOCIATE MEMBERS are philanthropic support entities, businesses, consultants, or individuals that serve foundations, corporations, or philanthropic entities.

Examples include but are not limited to:

- Financial and investment advisers, family offices, banks and trust companies that serve the philanthropic sector
- Law firms and attorneys that advise individuals and organizations regarding philanthropic activity
- Consulting firms and consultants who advise in the practice of philanthropy or who advise organizations that serve the field of philanthropy
- Vendors to the philanthropic field (e.g., software providers, publishers)
- Academic institutions and programs that support and serve the philanthropic sector

Submitter Name: _____

Submitter Title: _____

SubmitterEmail: _____

SubmitterPhone: _____

NEW MEMBER DUES' PRORATION POLICY

New Council members who join in the first calendar quarter of the year are required to pay the full year's dues amount.

New Council members who join in either the second or third calendar quarter of the year will have their first-year dues prorated accordingly, with a minimum payment for the year of \$1,500.

New Council members who join in the fourth calendar quarter of the year pay the full year's dues amount for the following calendar year and receive complementary Council membership for the remainder of the current calendar year.

Contact Persons Details

Primary Council Contact

Name: _____

Title: _____

E-mail: (Example: user@xyz.org) _____

Phone: (Example: (111-222-3333)) _____

Additional Contacts

Name: _____

Title: _____

E-mail: (Example: user@xyz.org) _____

Phone: (Example: (111-222-3333)) _____

Name: _____

Title: _____

E-mail: (Example: user@xyz.org) _____

Phone: (Example: (111-222-3333)) _____

Check here if you are required to complete IRS Form 990 or 990-PF.

No-Solicitation Policy Affirmation:

I affirm that I understand that in any Council-sponsored forum, we will adhere to the Council's no solicitation policy.

Certifications

We certify that:

- We will support and comply with the Council's Statement of Ethical Principles (listed below).
- We have a professional interest in charitable planning and agree to abide by NCPG Model.
- We understand that information regarding an allegation of a violation of our profession's code of conduct or ethics may be shared with any professional credentialing organization to which the organization and/or the individuals listed above or subsequently added is subject.
- We understand that if the violation is substantiated, our Associate membership will be terminated.
- We will promote the Council on Foundation's principles and practices for effective grantmaking when advising philanthropic clients.
- We have professional Errors and Omissions coverage in force of no less than \$1 million (Recommended).
- We will update the Council on any changes pertaining to our professional affiliation, insurance coverage or a code of ethics violation. Failure to notify the Council or failure to maintain compliance with the above criteria may result in termination of membership.

Please select ONE of the following options:

- We have not violated the Code of Conduct or Ethics of any professional credentialing organizations to which either the organization or the individuals employed by our organization are subject.
- We have not had our organizational or individual investment advisor, securities, or insurance licenses or other professional credentials revoked or suspended by a state or federal licensing or regulatory agency.
- If we have been accused or found in violation of the Code of Conduct or Ethics of a professional credentialing organization to which either the organization or the individuals employed by our organization are subject or had organizational or individual investment advisor, securities, or insurance licenses or other professional credentials revoked or suspended by a state or federal licensing or regulatory agency, we will provide the Council with complete details. We understand that consideration of our Associate Membership may be delayed until a review of the situation is completed.

Signature of Primary Council Contact _____ Date: _____

Total Associate Membership Dues Contribution: \$1,500

Associate Member Dues

- Annual associate member dues are \$1,500.
- New Council members who join in the fourth calendar quarter of the year are required to pay the full year's dues amount for the following calendar year and will receive complementary Council membership for the remainder of the current calendar year.

Statement of Ethical Principles

The Council on Foundations and its members promote the highest standards of ethical behavior. In recognition of the importance of philanthropy toward the public good and those we serve, we adopt these ethical principles.

MISSION

Our members are committed to the public benefit and to their philanthropic purposes and act accordingly.

STEWARDSHIP

Our members manage their resources to maximize philanthropic purposes, not private gain, and actively avoid excessive compensation and unreasonable or unnecessary expenses. They pursue maximum benefit through their work, how they work and by supporting the work of partners, colleagues and grantees.

ACCOUNTABILITY AND TRANSPARENCY

In carrying out their philanthropic activities, our members embrace both the letter and the spirit of the law. They welcome public interest, take responsibility for their actions and communicate truthfully.

DIVERSITY AND INCLUSIVENESS

Our members seek diversity and inclusiveness in order to reflect the communities they serve and to ensure that a range of perspectives contribute to the common good and the development of their mission in a changing society.

GOVERNANCE

Our members' governing bodies understand and embrace their responsibility to oversee the mission, strategic direction, finances and operations of their respective organizations, and do so honestly and with integrity. They establish clear and understandable policies and ensure that they are followed.

RESPECT

Members interact respectfully with grantees, colleagues, donors and peers.

Council on Foundations' Policy on Sanctioning Members

While Council members are committed to best practices and high standards of conduct, the Council is not an enforcement organization. Its interest is in protecting and preserving the public trust by encouraging ethically sound management of philanthropic institutions. This commitment to responsible and effective philanthropy led the Council's Board of Directors to establish specific procedures for invoking sanctions for possible or proven institutional misconduct by its members. This process strengthens the ability of the field to regulate itself and helps the Council fulfill its mission to educate its members about best practices and ethics in philanthropy. This and other efforts by grantmakers to monitor their own conduct will help prevent the need for further state and federal oversight and demonstrates the field's eagerness to comply with not only the letter of the law, but the spirit as well.

For the complete text of the Council on Foundations' Policy on Sanctioning Members, visit our membership section of the COF website: www.cof.org.

Please complete and return this form via email to the Council's membership department at membership@cof.org or mail to – Council on Foundations, Attention: Membership Department, 2121 Crystal Dr Ste 700, Arlington, VA 22202. We will review your application and if you are approved for membership, we'll send you an invoice for your dues. Please feel free to contact the Council's membership department with any questions at (703) 879-0645 or membership@cof.org. Thank you!